

## Rec'd PCT/PTO 2 8 DEC 2001

FORM PTO-139 (REV 10-2000)	U.S. DEPARTMENT OF COMMERCE PATE	ATTORNEY'S DOCKET NUMBER						
((2) ((0))		<u>44276/242574</u> (5854-6)						
	TRANSMITTAL LETTER TO	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)						
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			(not yela Ogfed 19644					
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
PCT/US00/18597		July 6, 2000	July 9, 1999					
TITLE OF INVENTION								
RETROVIRAL RECOMBINATION ASSAYS AND USES THEREOF								
APPLICANT(S) FOR DO/EO/US								
John C. KAPPES; Xiaoyun WU; John WAKEFIELD								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 🛛	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2.	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3.	This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).							
4.	The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).							
5. 🛛	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
ļ	<ul> <li>a.  is attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  has been communicated by the International Bureau.</li> </ul>							
	c. Signot required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
7. 🛛	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
1	<ul> <li>a.  are attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  have been communicated by the International Bureau.</li> </ul>							
#  -  -	c. An have not been made; however, the time limit for making such amendments has NOT expired.							
	d. have not been made and will not be made.							
§. [] 9. []	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11. To 16. Below concern other document(s) or information included:								
11. 🛛	An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.							
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. 🛚	A FIRST preliminary amendment. 733 Not found in case. A SECOND or SUBSEQUENT preliminary amendment.							
14.	A substitute specification.							
15. 🗌	A change of power of attorney and/or address letter.							
16. 🛚	Other items or information: postcard							



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U.S. APPLICATION NO. (If known) see	701964	attorney's docket number 44276/242574 (5854-6)						
17.  The following fees are	e submitted:	CALCULATIONS	PTO USE ONLY					
Basic National Fee (37 CFR Neither international prelimir search fee (37 CFR 1.445(a)( not prepared by the EPO or J	1.492(a)(1)-(5)): nary examination fee (3° 2)) paid to USPTO and							
International preliminary exai International Search Report p								
International preliminary example but international search (37C)	•	\$ <sub>1</sub> 740.00						
International preliminary example But all claims did not satisfy		\$ 710.00						
International preliminary examinated and all claims satisfied provis								
ENTER APPROPRIA	TE BASIC FEE A	MOUNT	=	\$ 890.00	·			
Surcharge of \$130.00 for for from the earliest claimed pro-		\$ 0.00						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	ψ 0.00				
Total Claims	58 -20 =	38	X \$18.00	\$ 684.00				
<u></u>	5 - 3 =	2	X \$84.00	\$ 168.00				
Independent Claims  MULTIPLE DEPENDENT CI		2	<del></del>					
MOLTIPLE DEPENDENT CI			+ \$280.00	\$ 0.00				
		OF ABOVE CALC		\$1742.00				
🖟 🛛 Applicant claims small	entity status. See 37	CFR 1.27. The fees i	ndicated above are					
reduced by one-half.				\$ -871.00				
			SUBTOTAL =	\$ 871.00				
Processing fee of \$130.00 f	for furnishing the Eng	lish translation later th	nan 🔲 20 🔲 30					
months from the earliest cla				\$ 0.00				
		<del></del>	ONAL FEE =	\$ 871.00				
Fee for recording the enclosed	assignment (37 CFR 1.			V				
by an appropriate cover sheet (			•	\$ 0.00				
	·	TOTAL FEES I	ENCLOSED =	\$ 871.00				
		TOTALTEES		Amount to be				
Ţ.				Refunded	\$			
				Charged	\$			
a. A check	in the amount of	to cover the above fe	es is enclosed.					
		unt No. 16-0605 in the ar		er the above fees.				
A duplic	cate copy of this sheet is	enclosed.						
c. 🛛 The Cor	nmissioner is hereby au	thorized to charge any ad	ditional fees which may l	be required, or credit any ove	rpayment to Deposit			
Account	No. <u>16-0605</u> .							
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to								
restore the application to pend		T. 1.131 1 FT.0000	000 5110					
SEND ALL CORRESPON	DENCE TO:		"Express Mail" Mailing Label Number: EL868639235US Date of Deposit: December 28, 2001					
Kelly J. Williamson		mber 28, 2001						
Kelly Willia	ms i		I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR					
SIGNATURE 1.10 on the d				on the date indicated above and is addressed to: BOX PCT, Attn: DO/US				
REGISTRATION NUMBER	K 4/,1/9		(PTO) Commissioner for Patents, Washington, DC 20231.					
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